

FORM PTO-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER  
NIHA-0194TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371U.S. APPLICATION NO. (if known see 37 CFR 1.5)  
10/529,967INTERNATIONAL APPLICATION NO.  
PCT/US2003/033200INTERNATIONAL FILING DATE  
20 October 2003 (20.10.2003)PRIORITY DATE CLAIMED  
21 October 2002 (21.10.2002)

TITLE OF INVENTION CONTIGUOUS CAPILLARY ELECTROSPRAY SOURCES AND ANALYTICAL DEVICE

APPLICANT(S) FOR DO/EO/US George JANINI, Haleem J. ISSAQ, Timothy D. VEENSTRA, Thomas P. CONRADS and  
Kenneth L. WILKENS

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:


1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9), and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) 35 U.S.C. 371(c)(4).
10. ☐ An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 20. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☒ A Supplemental Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form and a written form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.182-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
  - communication;
  - return postcard.

EV482611722US

EXPRESS MAIL Mailing Label No. EV 482611722 US  
Date of Deposit: September 15, 2005

U.S. APPLICATION NO. (if known) (37 CFR 1.5) <b>10/529,967</b>		INTERNATIONAL APPLICATION NO. <b>PCT/US2003/033200</b>		ATTORNEY DOCKET NUMBER <b>NIHA-0194</b>	
The following fees are submitted:					
21. <input type="checkbox"/> Basic national fee		<b>\$300.00</b>		\$	
22. <input type="checkbox"/> Examination fee				\$	
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)		<b>\$100.00</b>		\$	
All other situations		<b>\$200.00</b>		\$	
23. <input type="checkbox"/> Search fee				\$	
Search fee (37 CFR 1.445(a)(2)) has been paid on the International application to the USPTO as an International Searching Authority		<b>\$100.00</b>		\$	
International Search Report prepared and provided to the Office		<b>\$400.00</b>		\$	
All other situations		<b>\$500.00</b>		\$	
<b>TOTAL of 21, 22 and 23 =</b>				\$	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in electronic medium). The fee is <b>\$250</b> for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50=		X <b>\$250.00</b>	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				<b>\$130.00</b>	
Claims	Number Filed	Number Extra	Rate		
Total claims	- 20 =		X <b>\$50.00</b>	\$	
Independent Claims	- 3 =		X <b>\$200.00</b>	\$	
Multiple dependent claims(s) (if applicable)			+ <b>\$360.00</b>	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$130.00</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
<b>SUBTOTAL =</b>				<b>\$130.00</b>	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
				+	
<b>TOTAL NATIONAL FEE =</b>				<b>\$130.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +					
<b>TOTAL FEES ENCLOSED =</b>				<b>\$130.00</b>	
09 21/2005 GFREY1 00000154 10529967 01 FC:1617 130.00 DP				<b>Amount to be:</b>	\$
				<b>refunded</b>	
				<b>charged</b>	\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of <b>\$130.00</b> to cover the above fee is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 23-3050 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-3050. A duplicate copy of this sheet is enclosed.</p>					
<p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>					
SEND ALL CORRESPONDENCE TO:			 SIGNATURE		
<b>John W. Caldwell</b> Woodcock Washburn LLP One Liberty Place - 46th Floor Philadelphia, PA 19103 (215) 568-3100			<b>Jeffrey H. Rosedale</b> NAME		
			<b>46,018</b> REGISTRATION NUMBER		

Don't PCT. 15 SEP 2005

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In Re Application of:** George JANINI, Haleem J. ISSAQ, Timothy D. VEENSTRA,  
Thomas P. CONRADs and Kenneth L. WILKENS

**U.S. Serial No.:** 10/529,967

**Group Art Unit:** not yet known

**International Application No.:** PCT/US2003/033200

**Examiner:** not yet assigned

**International Filing Date:** 20 October 2003

**For:** CONTIGUOUS CAPILLARY ELECTROSPRAY SOURCES AND ANALYTICAL  
DEVICE


**COMMUNICATION**

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The above-identified application was filed without an executed Declaration/Power of Attorney. Although no "Notification of Missing Requirements" has been received, applicant herewith submits the executed copy along with the required surcharge of \$130.00 under 37 CFR 1.16(e).

Respectfully submitted,



Jeffrey H. Rosedale  
Registration No. 46,018

Date: September 15, 2005

Woodcock Washburn LLP  
One Liberty Place - 46<sup>th</sup> Floor  
Philadelphia, PA 19103  
Telephone (215) 568-3100  
Facsimile: (215) 568-3439